



Substitute for form 1449A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/607,728
				Filing Date	June 27, 2003
				First Named Inventor	Neal C. Oliver
				Art Unit	2661
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	42P16530
Sheet	1	of	2		

Examiner Signature	Duc Duong	Date Considered	4/15/07
--------------------	-----------	-----------------	---------

*\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.*

*\*Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.*

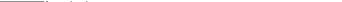
Based on PTO/SB/08-03 as modified by Blakely, Scickoff, Taylor & Zefman (ver) 08/11/2003

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Substitute for form 1449A/PTO				<i>Complete If Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/607,728
				Filing Date	June 27, 2003
				First Named Inventor	Neal C. Oliver
				Art Unit	2661
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	42P16530
<b>Sheet</b>	<b>2</b>	<b>of</b>	<b>2</b>		

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	4/15/07
--------------------	---	-----------------	---------

*\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.*

*\*Applicant's unique citation designation number. \*Applicant is to place a check mark here if English language Translation is attached.*

Based on PTO/SB/088 (08-03) as modified by Blakely, Sotckoff, Taylor & Zefman (wir) 08/11/2003.  
Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

				<i>Complete if Known</i>
Sheet				Application Number 10/607,728
1 of 3				Filing Date June 27, 2003
				First Named Inventor Neal C. Oliver
				Art Unit
				Examiner Name
				Attorney Docket Number 42P16530

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
DD	1	GEORGE APOSTOLOPOULOS ET AL., "Quality of Service Based Routing: A Performance Perspective", 1998 ACM 1-58113-003-1/98/0008, pgs 17-28.	
DD	2	MATTHIAS BOSSARDT ET AL., "ABR Architecture and Simulation for an Input-Buffered and PER-VC Queued ATM Switch", 1998 IEEE 0-7803-4984-9/98, pgs 393-398	
DD	3	BAOLO BOSTICA ET AL., "QUASIMODO- Quality of Service Methodologies and Solutions Within the Service Framework: Measuring, Manganing and Charging QoS", 2001 EURESCOM Participant in Project P906 EDIN 0088-0906, pgs 1-30.	
DD	4	INTERNATIONAL TELECOMMUNICATION UNION, " Terms and Definitions Related To Quality of Service and Network Performance Including Dependability", 8/94 ITU-T Recommendation E.800, pgs 1-53	
DD	5	INTERNATIONAL TELECOMMUNICATION UNION, " B-ISDN Service Aspects", 8/92 ITU-T Recommendation I.211, pgs 1-13	
DD	6	INTERNATIONAL TELECOMMUNICATION UNION, " General Aspects of Quality of Service and Network Performance In Digital Networks, Including ISDNs", 3/93 ITU-T Recommendation I.350, pgs 1-13	
DD	7	INTERNATIONAL TELECOMMUNICATION UNION, " B-ISDN ATM Layer Cell Transfer Performance", 3/00 ITU-T Recommendation I.356, pgs 1-56	

Examiner Signature	Due DeWong	Date Considered	4/15/07
--------------------	------------	-----------------	---------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.  
Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

2

of

3

Complete If Known	
Application Number	10/607,728
Filing Date	June 27, 2003
First Named Inventor	Neal C. Oliver
Art Unit	
Examiner Name	
Attorney Docket Number	42P16530

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
DD	8	ANDREAS VOGEL ET AL., "Distributed Multimedia and QoS: A Survey", 1995 IEEE 1070-986X/95, pgs 10-19	
DD	9	MOBILE WIRELESS INTERNET FORUM, " IP in the RAN as a Transport Option in 3rd Generation Mobile Systems", Mobile Wireless Internet Forum Technical Report, MTR-006 Release v2.0.0, pgs 1-118, 12 March 2001.	
DD	10	RICHARD CAM ET AL., "System Packet Interface Level 3 (SPI-3): OC-48 System Interface for Physical and Link Layer Devices", Optical Internetworking Forum SPI-3, Implementation Agreement: OIF-SPI3-01.0, pgs 1-42, June 2000.	
DD	11	RICHARD CAM ET AL., "System Packet Interface Level 4 (SPI-4) Phase 2: OC-192 System Interface for Physical and Link Layer Devices", Optical Internetworking Forum SPI-4 Phase 2, Implementation Agreement: OIF-SPI4-02.0, pgs 1-59, January 2001.	
DD	12	INTERNATIONAL TELECOMMUNICATION UNION, " Broadband Integrated Services Digital Network (B-ISDN) - Digital Subscriber Signalling System No. 2 (DSS 2) - User-Network Interface (UNI) Layer 3 Specification for Basic Call/Connection Control", 2/95 ITU-T Recommendation Q.2931, pgs 1-249	
DD	13	3rd GENERATION PARTNERSHIP PROJECT; Technical Specification Group Radio Access Network; IP Transport in UTRAN; Release 5; 3GPP TR 25.933 V5.0.0 (2002-03), pgs 1-127	
DD	14	3rd GENERATION PARTNERSHIP PROJECT; Technical Specification Group Services and System Aspects; Mandatory Speech Codec Speech Processing Functions; AMR Speech Codec Frame Structure; Release 4; 3GPP TS 26.101 V4.2.0 (2002-03), pgs 1-19	

Examiner Signature	Duc Duong	Date Considered	4/15/07
--------------------	-----------	-----------------	---------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

\*Applicant's unique citation designation number. \*Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08B (08-03) as modified by Blakely, Sokoloff, Taylor & Zafman (w/r) 08/11/2003.  
Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Information Disclosure Statement by Applicant				Complete if Known	
				Application Number	10/607,728
				Filing Date	June 27, 2003
				First Named Inventor	Neal C. Oliver
				Art Unit	
				Examiner Name	
Sheet	3	of	3	Attorney Docket Number	42P16530

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
DD	15	3rd GENERATION PARTNERSHIP PROJECT; Technical Specification Group Services and System Aspects; QoS Concept and Architecture; Release 5; 3GPP TS 23.107 V5.4.0 (2002-03), pgs 1-40			
DD	16	CUI-QING YANG ET AL.; "A Taxonomy for Congestion Control Algorithms in Packet Switching Networks", July/August 1995 IEEE, 0890-8044-95, pgs 34-45			

Examiner Signature	Duc Duong	Date Considered	4/15/07
--------------------	-----------	-----------------	---------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number. \*Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.  
Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450